

1 **Blind and incremental or directed and disruptive?**
2 **On the nature of novel variation in human cultural evolution**

3 (to appear in American Philosophical Quarterly in 2021)

4 Alex Mesoudi

5 Human Behaviour and Cultural Evolution Group
6 Biosciences, College of Life and Environmental Sciences
7 University of Exeter
8 Penryn, Cornwall, TR10 9FE
9 United Kingdom

10 Email: a.mesoudi@exeter.ac.uk

11 Word count (main text): 5792

12 Word count (all text): 7409

13 **Abstract**

14 Many scholars have rejected cultural evolutionary theory on the grounds that cultural variation is directed
15 and intentionally created, rather than incremental and blind with respect to function, as is the case for novel
16 genetic variation in genetic evolution. Meanwhile, some cultural evolution researchers insist that cultural
17 variation *is* blind and undirected, and the only directional force is selection of randomly-generated variants.
18 Here I argue that neither of these positions are tenable. Cultural variation is directed in various ways. While
19 this does not invalidate cultural evolution, more attention should be paid to the different sources of non-
20 randomness in culturally evolving systems.

21 **Keywords:** cultural evolution, evolutionary psychology, evolutionary theory, generalized Darwinism,
22 memetics

23 **Introduction**

24 Does human culture evolve? Can we draw useful parallels between genetic evolution and cultural change?
25 Can we use similar tools, methods, concepts and theories to understand cultural change as biologists use
26 to understand genetic evolution? The rapid increase in research adopting a cultural evolution framework in
27 the last few decades (Youngblood and Lahti 2018) shows that increasing numbers of scholars are
28 answering “yes” to these questions. Yet in the grand scheme of academic research, cultural evolution
29 remains a fringe pursuit. The majority of the social sciences and humanities reject any kind of evolutionary
30 theorizing for understanding cultural phenomena, including cultural evolution (Slingerland and Collard 2011;
31 Perry and Mace 2010). Even within the evolutionary human behavioral sciences, the theory of cultural
32 evolution is often treated with skepticism (Daly 1982; Atran 2001; Pinker 1997). While there are many
33 reasons for this rejection and skepticism, one common point of contention surrounds the issue of
34 randomness and directionality in the generation of novel cultural variation. This is also a common source of
35 disagreement *amongst* cultural evolution researchers (Lewens 2015; Claidière, Scott-Phillips, and Sperber
36 2014). In this paper I argue that there are genuine differences between genetic and cultural evolution in this
37 sense. While some novel cultural variation seems to be generated randomly with respect to selection, akin
38 to the generation of novel genetic variation, there are also several forms of directed cultural variation. I
39 argue that while the latter do not invalidate the theory, approach or methods of cultural evolution, more
40 attention should be paid to the different sources of directedness in culturally evolving systems and their
41 consequences.

42 **What is cultural evolution?**

43 Cultural evolution is the idea that cultural change exhibits fundamental similarities to genetic evolution, such
44 that we can profitably view and analyze cultural change as an evolutionary process. ‘Culture’ here is defined
45 broadly as any socially transmitted information that passes from person to person non-genetically, via
46 imitation, language, teaching or any other means of social learning. This includes what we colloquially label
47 knowledge, beliefs, attitudes, norms, customs, skills, words, grammar, and institutions. If evolution is
48 defined in abstract terms – much as Darwin did (Darwin 1859) – as comprising a system of variation,
49 inheritance, and differential fitness (Lewontin 1970), then cultural change appears to meet the criteria of
50 being an evolutionary process. Cultural traits (beliefs, ideas, attitudes etc.) vary within a population; they are

51 (by definition) inherited from person to person, not genetically but socially, via social learning; and not all
52 traits are equally likely to persist over time, with some ideas or beliefs spreading at the expense of others
53 (Mesoudi, Whiten, and Laland 2004).

54 This parallel was first suggested by Darwin himself who, in *The Descent of Man* (Darwin 1871), pointed out
55 the similarities between the evolution of species and of human languages. Despite initial interest in this
56 parallel within the nascent social sciences of the late 19th century (Hodgson 2005), the idea fell from favor as
57 the social and natural sciences diverged during the early 20th century. A resurgence began in the 1970s with
58 memetics (Dawkins 1976), evolutionary epistemology (Campbell 1974), and the mathematical modeling of
59 culture using the methods of population genetics (Cavalli-Sforza and Feldman 1981; Boyd and Richerson
60 1985). The latter in particular stimulated an ongoing empirical program that includes lab experiments,
61 archaeological analyses, linguistics, history and non-human comparative work (Mesoudi 2011, 2017).

62 As in many fields, particularly relatively new ones, there are different approaches to cultural evolution that
63 hold slightly different assumptions. These include memetics (Blackmore 1999; Dennett 2017), cultural
64 attraction or cultural epidemiology (Claidière, Scott-Phillips, and Sperber 2014; Sperber 1996), cultural
65 phylogenetics (Gray and Watts 2017), and work derived from the aforementioned population genetic
66 modeling (Boyd and Richerson 1985; Cavalli-Sforza and Feldman 1981). One dimension on which they vary
67 is the degree to which individuals are seen to be able to direct cultural dynamics by generating or
68 transforming cultural traits non-randomly, in particular directions or towards particular forms. This is also a
69 reason some use to reject the entire cultural evolution approach, as explored in the next section.

70 **Randomness and directionality in the generation of genetic and cultural variation**

71 It is a fundamental axiom of genetic evolutionary theory that novel genetic variants arise randomly with
72 respect to function. Beneficial mutations are no more likely to arise when they are needed (i.e., no more
73 likely to subsequently confer fitness benefits to their bearer) than when they are not needed (Luria and
74 Delbruck 1943). The primary directional component of genetic evolution is selection, which increases the
75 frequency of variants that happen to confer a fitness advantage on their bearers (i.e., makes them more
76 likely to survive and reproduce). The generation of variation, via mutation or recombination, is random, not

77 directional. 'Random' here does not mean that all genetic mutations are equally likely to occur, given the
78 existence of developmental constraints that make some variants more likely to occur than others, and
79 variation in mutation rates across loci. Rather, it means that the chance of a particular mutation occurring
80 does not depend on whether or not that mutation is evolutionarily advantageous to its bearer. While there is
81 some evidence for 'adaptive mutation', where the mutation rate increases during times of stress when
82 beneficial mutations are most needed (Foster 2004; Rosenberg 2001), this does not change the basic point
83 that genetic mutation is undirected. Adaptive mutation, if it exists, increases the rate of random mutation,
84 such that beneficial and non-beneficial mutations are both increasingly likely to occur, with no bias towards
85 beneficial mutations. Similarly, 'facilitated variation' (Gerhart and Kirschner 2007) highlights the major
86 constraints that conserved components of development and physiology place on the kind of phenotypic
87 variation that genes can generate. While this may make phenotypic variation more likely to be adaptive, this
88 is due to past selection of random genetic variation.

89 The generation of novel cultural variation, however, seems far more directed and intentional. People strive to
90 solve specific problems, invent useful or profitable products, instigate political and social change that they
91 believe will advance their chosen cause, and so on. This difference has frequently been used to argue
92 against the claim that culture evolves. Pinker, for example, writes "Memes such as the theory of relativity are
93 not the cumulative product of millions of random (undirected) mutations of some original idea, but each
94 brain in the chain of production added huge dollops of value to the product in a non-random way." (Pinker,
95 cited in (Dennett 1995 p.355)). Similarly, Orr criticizes cultural evolution on the grounds that "new ideas - but
96 not genes - are produced by a sort of directed mutation. Newton did not uncover the Fundamental Theorem
97 of Calculus by conceiving millions of random ideas." (Orr 1996 p.470). Hallpike writes "there is no
98 significant resemblance between the *mutation*, the basic source of variation in the Darwinian scheme of
99 things, and social *invention*, which is purposeful, responsive, and can be diffused. Whereas biological
100 variation can be treated as random, social variation is the product of particular societies and cultural
101 traditions, and therefore far from random" (Hallpike 1986 p.36, italics in original). Fracchia and Lewontin
102 state that "[cultural v]ariations emerge not randomly, but as attempts by specific individuals and/or groups
103 to solve specific social/cultural problems; and their origins are not unrelated to their fate." (Fracchia and
104 Lewontin 2005 p.21). Or this from Sternberg, in an entire article criticizing the blind-variation assumption of

105 cultural evolution: “The blind-variation model is inadequate in accounting for all of human creativity, if only
 106 because the research on expertise ... shows that humans do not blindly vary hundreds or even thousands of
 107 candidates for propagation - or mutations - for every one that succeeds.” (Sternberg 1998 p.171).

108 This collection of quotes from psychologists, biologists, historians and anthropologists reveals the breadth
 109 of the criticism that culture cannot be said to evolve because the generation of cultural variation is not
 110 random or blind with respect to function.

111 **Is cultural variation randomly generated?**

112 While some approaches to cultural evolution explicitly incorporate non-random, or ‘guided’ variation (see
 113 next section), some strongly imply that cultural variation is random with respect to selection. Campbell’s
 114 evolutionary epistemology adopted an explicit ‘blind-variation-and-selective-retention’ model of cultural
 115 evolution and creativity in general (Campbell 1974, 1965). While this did not necessarily require that novel
 116 cultural variation is blind, only that it is generated by mechanisms (e.g., cognition) that themselves were the
 117 product of blind variation and selection (e.g., natural selection), followers of this approach have insisted
 118 upon the blindness of novel cultural variation (Simonton 1999b, 1999a). Memeticists, in drawing close
 119 parallels between cultural change and genetics, also tend to focus on the selection of randomly generated
 120 variation at the expense of direction by individual minds (Blackmore 1999). (Dennett (2017), an advocate of
 121 memetics, has recently argued that the assumption of random or blind variation applies to *past* cultural
 122 evolution to explain the emergence of apparent design in cultural systems without any conscious intention
 123 on the part of individuals, but not *recent* cultural evolution, such as that resulting from the scientific method
 124 or corporate research and development, which is more directed and ‘intelligently designed’).

125 What does the evidence say? Memetics and evolutionary epistemology are often frustratingly evidence-free
 126 domains of enquiry. However, Simonton (1999b, 1999a) has most forcefully defended Campbell’s blind-
 127 variation model of cultural evolution, drawing on historical studies of creativity. Simonton points to the many
 128 inventions and discoveries throughout the history of science and technology that, rather than being the
 129 intended product of a genius inventor or scientist, were actually the result of accident, serendipity or blind
 130 trial and error. These include anaesthesia, electromagnetism, ozone, photography, dynamite, the

131 gramophone, vaccination, saccharin, X-rays, radioactivity, classical conditioning, penicillin, Teflon and
132 Velcro (Simonton 1995). The classic example is Alexander Fleming famously discovering penicillin when it
133 accidentally killed some staphylococci cultures that he left exposed while on holiday. Basalla (1988) makes
134 a similar argument to Simonton for the history of technology. Through a series of case studies, he argues
135 that, contrary to the common 'great leaps by great minds' model of history, key inventions such as the
136 steam engine were usually relatively minor and often unplanned modifications of previous technologies,
137 rather than the disruptive innovative jumps commonly portrayed in popular imagination.

138 While suggestive, this evidence is far from conclusive. Examples and case studies can be consciously or
139 unconsciously cherry-picked to demonstrate a point. While discoveries such as that of penicillin may be
140 reported as accidental, a great deal of prior work had gone into getting to a point where this was possible
141 (as per the saying, 'fortune favors the prepared mind'). As Sternberg (1998) reasonably notes, if cultural
142 variation is blindly generated, it is hard to explain why some individuals (e.g., Edison, Einstein, Marie Curie)
143 were responsible for multiple discoveries or inventions, and others contribute none. This highlights a major
144 omission of the purely blind variation hypothesis: individual learning during the lifetime. People surely gain
145 knowledge through training, practice or education, and increase their chances of making significant cultural
146 modifications. The role of individual learning is considered further in the next section.

147 However, we should not discount the possibility or importance of randomly generated variation in cultural
148 evolution. Indeed, perhaps the most rigorous test of this hypothesis found in its favor. Nia et al. (2015)
149 analyzed the cultural evolution of violin 'f-holes', the holes in the violin body that affect acoustic
150 conductance and, consequently, the quality of the sound produced by the violin. By analyzing several
151 centuries of violin design, Nia et al. showed that these holes gradually evolved from circles in the 10th
152 century which had little acoustic effect, to the now-familiar f-holes in the 18th century, which hugely enhance
153 the acoustic properties of the violin. Most pertinently, they showed that this change was so gradual as to be
154 consistent with random, accidental changes introduced by each generation of violin-makers due to
155 imperfections in the manufacturing process. Those violins within the existing range of variation that
156 happened to sound better were preserved and copied, and those that sounded worse were not. No
157 disruptive or directional jumps in violin acoustics were observed, and no assumption that violin makers

158 were intentionally creating better-sounding violins is necessary to explain the historical trend. There is even
 159 a wonderful counterexample: two early 19th-century violin makers *did* explicitly create novel violins that
 160 they believed would be superior to the standard design: Savart's trapezoidal violin and Chanoit's guitar-
 161 shaped violin. Both were demonstrably novel and beyond the normal range of random variation found by
 162 Nia et al. Contrary to their inventors' intentions, however, these novel violins had poorer acoustics than the
 163 standard design, and are now forgotten evolutionary dead-ends.

164 Nia et al.'s analysis of violin design goes beyond anecdotes to show that directional cultural evolutionary
 165 change can, and most likely was, generated by blind variation and selective retention. Of course, this may
 166 not apply to other cases, only to those situations where the problem (here, how to maximize acoustic
 167 conductance in a musical instrument) is beyond the understanding of unaided human intuition.
 168 Nevertheless, there are many other similar cases where we are only just beginning to understand the
 169 underlying physical and chemical principles that underpin performance, such as the manufacture of glass
 170 (Macfarlane and Martin 2002) or swords (Inoue 2010). For other more intuitive problems, or for more recent
 171 scientifically-driven cultural evolution (Dennett 2017), variation may well be directed.

172 **Adding individual learning, or 'guided' variation**

173 As noted above, while there are some demonstrable cases of blind variation and selective retention fitting
 174 the historical data, a major potential omission is individual learning. People surely improve their ability to
 175 generate useful solutions to problems as they acquire more practice, knowledge and skill. More skilled and
 176 more knowledgeable individuals would be more likely to generate beneficial variation.

177 This possibility was not only acknowledged, but also mathematically modeled, in some of the earliest formal
 178 work on cultural evolution. Boyd and Richerson (1985) dedicated a whole chapter to 'guided variation'. As
 179 they write:

180 "When individuals learn, phenotypic variation is not random. Instead, the frequency
 181 of certain (usually favorable) variants is increased. If such learned variants are
 182 culturally transmitted, the result is a force that increases the frequency from one

183 generation to the next of the same variants whose frequency is increased within a
184 generation by learning. We call this force ‘guided variation’” (Boyd and Richerson
185 1985 p.82)

186 Note the words “usually favorable”. Guided variation is always non-random, and usually results in the
187 generation of cultural variation that is beneficial. As noted above, it is the latter that violates the parallel with
188 genetic mutation, which may be non-random but is not more likely to be beneficial.

189 Boyd and Richerson’s models show that guided variation can generate directional, adaptive evolutionary
190 change in the complete absence of selection or selection-like copying biases. Even if traits are copied
191 entirely at random, the directionality introduced by individual learning will generate directional, typically
192 beneficial change at the population level. As Boyd and Richerson note, this kind of change is sometimes
193 labelled ‘Lamarckian’ and contrasted with ‘Darwinian’ change, although in reality the term ‘Lamarckian’ is
194 used in many different, and usually misleading, ways (Darwin, for example, was a Lamarckian in his views of
195 biological inheritance).

196 Boyd and Richerson (1985) consider two kinds of individual learning that may generate beneficial variation:
197 trial-and-error or reinforcement learning as commonly studied within behavioral psychology, and Bayesian
198 models of rational choice from economics and cognitive science. Subsequent models and empirical work
199 has extended the latter, showing that one can model cultural evolution as a Bayesian process of inductive
200 bias (Griffiths, Kalish, and Lewandowsky 2008) or iterated learning (S. Kirby, Dowman, and Griffiths 2007).
201 Assuming learners are rational, both processes (reinforcement learning and Bayesian inference) result in the
202 outcome described by Boyd and Richerson (1985): convergence on whatever behavior, belief or hypothesis
203 is most consistent with the available data given the learners’ preferences and biases (e.g., behaviors that
204 yield higher monetary payoffs, languages that allow effective communication, categories that accurately
205 describe the world, scientific hypotheses that best fit the empirical data). This provides a potential
206 alternative to the typical cultural evolution approach. If all cultural change can be explained as a product of
207 directional individual learning, all one would need to understand all cultural change is how individual
208 learning works. We would be able to infer cultural dynamics by studying a single person. There is no need

209 to consider population-level dynamics of who is copying whom, of migration or demography, or the other
210 between-individual processes within cultural evolution models. The critics quoted above who argue that
211 directionality invalidates cultural evolution would be vindicated.

212 However, there are at least two problems with guided variation as a complete explanation of cultural
213 change. First, as noted above, many of the real problems faced by people are too complex to be solved by
214 individual learning alone (Boyd and Richerson 1985). Violin acoustics, glasswork or metallurgy are governed
215 by physical or chemical processes that scientists are only beginning to understand now. Such processes
216 would have been way beyond the understanding of most people throughout history, yet violins, glass
217 vessels and swords have nevertheless steadily increased in complexity and effectiveness. As shown by Nia
218 et al.'s (2015) violin analysis, random, undirected variation plus selection of variants that happen to be
219 beneficial is a way of surmounting this limitation, as people do not need to know how or why the beneficial
220 variants are beneficial.

221 Second, individual learning is short-sighted. When the design- or solution-space within which cultural
222 evolution occurs is multimodal (i.e., there are multiple solutions to a problem of varying quality, and one
223 solution does not give clues to another, better solution), then even highly effective individual learners can
224 get stuck on local optima and miss global optima. Any attempt to explore alternative solutions in the design
225 space reduces immediate payoffs, despite the presence of better solutions elsewhere. This limitation can be
226 overcome by copying the solutions of others who happen to have found better solutions, via a selection-like
227 bias to copy successful others. Michael O'Brien and I have explored this scenario both experimentally
228 (Mesoudi and O'Brien 2008b) and using models (Mesoudi and O'Brien 2008a), showing that (i) people are
229 effective reinforcement learners, and non-randomly converge on locally optimal solutions to an artifact
230 design task, (ii) people nevertheless get stuck on locally optimal but globally suboptimal solutions, and (iii)
231 when allowed to copy others' artifacts, people escape these local optima and significantly increase their
232 payoffs. This exploitation-exploration tradeoff has been explored extensively in cognitive and computer
233 science (Hills et al. 2015), the findings of which underscore the difficulty of individual learning alone to
234 correctly balance this tradeoff. What is needed is both: individual learning acts as a directional 'mutational'
235 force that helps cultural evolution along, but selection-like social learning biases do much of the work

236 especially when technological and social systems exceed the understanding of a single individual.

237 **Genetically evolved learning biases and culturally attractive cognitive biases**

238 The individual learning processes described in the previous section are relatively domain-general.

239 Reinforcement learning reinforces whichever behavior is rewarded. Evolutionary psychologists, however,
240 have argued that learning is typically domain-specific, and this domain specificity is the result of a history of
241 natural selection (Tooby and Cosmides 1992). According to this argument, genetic evolution has shaped our
242 cognition to more readily learn about stimuli that are adaptively relevant, that is, stimuli that would have
243 affected our ancestors' chances of surviving and reproducing. Genetically evolved domain-specificity in
244 learning was demonstrated in the animal learning literature in the 1950s, with the finding that rats more
245 readily learn to associate nausea with tastes than with sounds, because tastes, unlike sounds, are
246 characteristic of foods that may actually cause sickness (Seligman 1970).

247 This again would be a challenge to standard cultural evolution approaches, but with genetic evolution
248 providing the 'direction' in the generation of directional cultural variation. If individual learning dominates
249 cultural change, and individual learning reflects genetically evolved domain-specific biases, then cultural
250 representations should converge on forms that reflect these genetically evolved biases. This is essentially
251 the argument made by prominent evolutionary psychologists (Tooby and Cosmides 1992). Several plausible
252 and empirically supported examples of this can be given. Fessler and Navarette (2003) show that food
253 taboos proscribing meat are more common cross-culturally than plant-based food taboos, reflecting greater
254 disgust sensitivity for meat than plants. This bias is adaptive given that meat is more likely to contain
255 parasites and endanger health than plants. In general, disgust-inducing stimuli are more memorable, and
256 more likely to be culturally transmitted, than non-disgusting stimuli (Eriksson and Coultas 2014), and this
257 disgust bias is likely to be adaptive, if not now, then certainly in our ancestral past (although see Eriksson,
258 Coultas, and Barra 2016 for evidence of non-universality of disgust bias). Other examples include learning
259 biases that predispose towards information about the dangerousness of animals, which is even found in
260 Los Angeles children with little exposure to, let alone risk from, dangerous animals (Barrett and Broesch
261 2012).

262 A similar argument takes into account genetically evolved cognitive architecture, but may not necessarily
263 result in adaptive cultural representations. Evolutionary scholars of religion, for example, argue that cross-
264 cultural regularities in religious beliefs may result from over-active agency detection (Boyer 2002). An
265 understanding of other peoples' agency and minds, or 'folk psychology', is ordinarily adaptive because it
266 allows us to predict others' behavior better. This may misfire, however, when people interpret agency in the
267 occurrence of earthquakes, famines and other natural phenomena, resulting in a belief in a higher agency or
268 deity. This is therefore a by-product of genetically evolved cognitive processes. Other examples of cognitive
269 by-products include preferences for direct over indirect eye gaze in portraits (Morin 2013), or blood-letting
270 as a medical practice (Miton, Claidière, and Mercier 2015). Blood-letting is not adaptive – and indeed is
271 maladaptive when it leads to blood infections – but it fits our intuitions about how illness works (it releases
272 'bad blood' from the body).

273 These explanations play a central role in cultural attraction or cultural epidemiology approaches to cultural
274 evolution (Sperber 1996; Claidière, Scott-Phillips, and Sperber 2014; Morin 2015; Buskell 2017). Here the
275 focus is often on universal cognitive mechanisms that explain cross-cultural regularities in cultural
276 representations, such as food taboos, supernatural agency, direct eye-gaze in portraits or blood-letting.
277 Such favored representations are called cultural attractors. These may directly reflect genetically evolved
278 individual biases, or constitute by-products of genetically evolved cognition. In all cases there is a distinctly
279 non-random domain-specificity: certain traits are universally and predictably favored over others. In theory,
280 as for domain general individual learning, this could explain cultural variation and change entirely in terms of
281 individual learning biases.

282 There is persuasive evidence for the existence of cross-cultural regularities in cultural representations that
283 are consistent with genetically evolved individual learning biases (e.g., for disgust-inducing stimuli) or by-
284 products of normally adaptive cognitive processes (e.g., overactive or misfiring agency detection). Here,
285 novel cultural variation is non-random and directional. This has led some to criticize cultural evolution
286 approaches that focus on selection, and instead advocate for an understanding of culture in terms of
287 genetic evolution (Tooby and Cosmides 1992) or individual cognition (Claidière, Scott-Phillips, and Sperber
288 2014), rather than population-level processes of cultural selection or selection-like transmission biases.

289 There are some problems with these claims, however. First, such accounts are good at explaining cultural
290 regularities and stasis, but not cultural variation and change. Universal cognitive biases predict cultural
291 universals. But how are we to explain the extensive human cultural diversity seen in the ethnographic record
292 (K. R. Kirby et al. 2016), not to mention post-industrial technologies? It is also hard to explain cases of
293 cumulative cultural evolution that seem to exceed or replace genetically evolved or attractive biases. Blood-
294 letting, for example, has been replaced by unintuitive, 'unattractive' medical practices such as vaccination
295 or surgery. Intuitive supernatural and religious beliefs have often been replaced by naturalistic explanations
296 of the world, such as evolutionary theory, which is demonstrably unintuitive (Shtulman 2006). As noted
297 above, complex traits such as violins or glassware have appeared and accumulated in complexity despite
298 their unintuitiveness.

299 Second, cross-cultural regularities could in principle also arise from random variation and selective
300 retention. While it is possible that evolved cognitive biases act to bias the generation of novel cultural
301 variation, it is also possible that such biases act at the selection stage of cultural evolution, to preserve
302 randomly-generated variants that happen to fit cognitive biases. More fine-grained historical data, such as
303 that used by Nia et al. (2015), are needed to test this.

304 As argued above for domain-general individual learning, domain-specific genetically evolved or cognitively
305 attractive biases are likely to play an important role in cultural evolution in certain cases, but not in others
306 (Acerbi and Mesoudi 2015). For domains that are evolutionarily relevant (i.e., subject to past genetic
307 evolution), like emotional disgust reactions to food, or for domains that are cognitively intuitive, like blood-
308 letting, such explanations will be useful. For cases that are unintuitive or too novel to have been subject to
309 genetic evolution, like much technology or complex social institutions, random variation and selection will
310 play a bigger role.

311 **Causal understanding and mental models**

312 A final source of non-random variation invokes cognition more explicitly than the simple cognitive biases
313 discussed previously. The cognitive niche hypothesis (Barrett, Cosmides, and Tooby 2007; Pinker 2010)

314 posits that human adaptation occurs partly by domain-specific, genetically evolved cognitive biases, but
315 also via 'improvisational intelligence'. Here, learners generate solutions to adaptive challenges on-the-fly,
316 through the construction and manipulation of mental models of the world and applying causal reasoning to
317 such models. Pinker (2010) gives the example of armadillo hunting by members of the Yanomamo, drawing
318 on Chagnon's classic ethnographic account (Chagnon 2012). Yanomamo hunters will light fires at tunnel
319 entrances to smoke out armadillos, block out entrances to prevent them escaping, and push vines through
320 tunnels to locate asphyxiated armadillos. This is possible by constructing causal mental models of armadillo
321 behavior (e.g., they dislike smoke) and of the physical environment (e.g., how smoke diffuses through
322 tunnels, or how to use vines to locate armadillos underground).

323 This extends the argument of the previous section, addressing the problem that genetically evolved biases
324 cannot generate solutions to novel problems. Causal models can guide novel problem solving on-the-fly to
325 exceed fixed genetically-evolved biases. This can generate cultural variation, if individuals generate different
326 solutions to problems, or solutions to differing problems. Here again, novel cultural variation is generated
327 non-randomly, in directions that are likely to be adaptive. No selection-like process is needed to select
328 effective solutions.

329 It is, however, an empirical question whether causal models can actually explain patterns of cultural
330 variation and change. In response to Pinker (2011), Boyd et al. (2011) argue that in reality humans
331 predominantly rely on received cultural traditions with little explicit understanding of why those traditions
332 work. They cite historical 'lost explorer' cases to support their argument, where European explorers set out
333 to explore an unfamiliar region with all the latest equipment and scientific knowledge, yet fail to survive. For
334 example, John Franklin's 1845 expedition to the Arctic to discover a north-west passage from Europe to
335 North America ended in disaster when his ship got stuck in the ice and him and his crew died of
336 malnutrition. This is despite the fact that hunter-gatherers had successfully lived in the same region for
337 generations. If cultural adaptation occurred via mental models, causal reasoning and improvisational
338 intelligence, Boyd et al. argued, we would expect smart individuals like Franklin to have figured out how to
339 survive. Yet they typically did not. Hunter gatherers succeed where Europeans did not by relying on cultural
340 traditions that have gradually accumulated over many generations, typically via cultural selection of blindly

341 generated solutions rather than explicitly understood causal theories. Arguably, examples such as Pinker's
342 armadillo hunting could also be attributed to socially learned customs, rather than improvisation on-the-fly.

343 However, these case studies and anecdotes are again vulnerable to cherry-picking. A recent study provides
344 a rare experimental test of the cognitive niche hypothesis (Derex et al. 2019). Derex et al. had participants
345 complete a seemingly straightforward but deceptively difficult task. Each participant was presented with
346 wheels positioned at the top of a downward-sloped track. Each wheel had four weights that could be
347 moved along its spokes, from the centre of the wheel to the edge. The participant's task was to position the
348 four weights to minimize the time it took for the wheel to descend the slope. Each participant had five
349 attempts to change the weights, giving some opportunity for individual learning. The solution to this
350 problem, however, is complex and unintuitive, requiring an understanding of inertia and potential energy.

351 The twist was that participants were placed in transmission chains. Each participant (except the first in each
352 chain) could view the weight positions of the last two trials of the previous participant in their chain. In one
353 condition, this is all the information they received. In a second condition, participants could additionally
354 transmit an explicit written theory about how and why the weights should be placed. Performance (i.e.,
355 descent speed) increased along the chains, but contrary to the cognitive niche hypothesis, no significant
356 difference in performance was found between the two conditions. The transmission of causal theories did
357 nothing to enhance performance beyond simply observing others' attempts. In fact, causal theories seemed
358 to decrease performance amongst some participants, by inhibiting exploration of the design-space (weight
359 combinations) and fixating on sub-optimal configurations. This study provides experimental evidence that
360 the incremental accumulation of small, largely undirected changes is sufficient for directional cultural
361 change, and causal understanding is not necessary.

362 **Discussion**

363 In this paper I have explored the issue of whether novel cultural variation is directed and disruptive, or blind
364 and incremental, and the implications of this issue for theories of cultural evolution. Many scholars have
365 rejected cultural evolution altogether on the grounds that cultural variation is directed and intentionally
366 created, rather than incremental and blind with respect to function as is the case for novel genetic variation
367 in genetic evolution. In contrast, some strands of cultural evolution research, most notably memetics and

368 evolutionary epistemology, often argue that cultural variation *is* blind and undirected, and the only
369 directional force is selection of randomly-generated variants.

370 I have argued here that neither of these positions are tenable. There are some cases where cultural variation
371 does seem to be randomly generated, such as via manufacturing error in the case of violin designs, and
372 directional change occurs via the cultural selection of those variants that happen to perform best (Nia et al.
373 2015). However, while this may apply to some such cases, it is unlikely to apply to others. Crucially, this
374 blind-variation-and-selective-retention model omits individual learning, either domain-general reinforcement
375 learning, domain-specific genetically evolved or cognitively derived individual learning biases, or causal
376 mental models that allow individuals to generate solutions to problems on-the-fly. Each of these individual
377 learning processes is directional, whether towards reinforced behavior, towards behaviors favored by
378 genetic evolution or by-products of genetically evolved cognition, or the outcome of richer causal models of
379 the world.

380 Yet we should be wary of over-estimating the influence of individual learning in generating cultural change
381 and variation. Individual learning alone leads to cultural forms that are consistent with this individual
382 learning: what is favored by a population is the same as what is favored by a single individual (Boyd and
383 Richerson 1985; Griffiths, Kalish, and Lewandowsky 2008). This may apply to some adaptively relevant or
384 cognitively intuitive domains, such as blood-letting, portrait eye-gaze, or emotionally salient disgusting
385 stimuli. Other domains, such as violins, glass manufacture, scientific theories like quantum physics, and
386 complex social institutions like financial markets, are unintuitive and seem beyond the reach of individual
387 learning. As Boyd et al. (2011) argue, such phenomena are best accounted for by the incremental, possibly
388 directional, generation of novel variants that are selected by success-biased social learning without the
389 requirement that people understand why those selected variants are successful. Derex et al.'s (2019)
390 experiment shows that technological improvement is possible without causal understanding, consistent
391 with this claim. As long ago as the 1980s, Boyd and Richerson (1985) showed that guided variation is
392 consistent with cultural evolution, given that its importance and potency is mediated by the difficulty of
393 individual learning.

394 A fuller appreciation of the various ways in which cultural variation can be directed generates novel insights
395 beyond a simplistic 'directed vs blind' dichotomy. For example, the institution of science can be seen as a
396 mix of individually acquired and intelligently modified causal models (scientific theories) that vary across
397 scientists and are selected on the basis of their fit to the available empirical data, at least partly (Hull 1988).
398 As Dennett (2017) notes, different processes may have been more or less important at different times in
399 history: early human culture may have been largely driven by blind variation and selective retention with no
400 explicit causal understanding, while more recent science and technology may be driven by explicit causal
401 models. Perhaps the exponential increase in scientific and technological knowledge (Enquist et al. 2008)
402 can be explained by this shift, with causal understanding increasing the speed of cumulative culture.

403 There is a general need for more rigorous empirical tests of assertions in this area, beyond simple
404 assertions (see quotes in the Introduction), or potentially cherry-picked historical examples. Nia et al.'s
405 (2015) study is exemplary in quantifying the performance ('cultural fitness') of an artifact (a violin) and
406 testing changes in this artifact over time against an explicit model of random variation and selective
407 retention. Similar approaches have been used in archaeology, testing artifact change against formal
408 'accumulated copy error' models assuming randomly generated variation (Kempe, Lycett, and Mesoudi
409 2012; Eerkens and Lipo 2005). Future studies might compare historical and archaeological data against
410 cognitively richer alternative models, to provide a proper alternative to random variation and selective
411 retention. Derex et al. (2019), meanwhile, show how different mechanisms can be simulated in the lab,
412 allowing more powerful inferences than with historical or observational data alone. Derex et al. (2019) used
413 a specific technological task; further studies might use a range of tasks, perhaps tapping different
414 performance criteria and varying the difficulty of the task and hence potency of individual learning.

415 In summary, the nature of novel cultural variation is of crucial importance for theories and tests of cultural
416 evolution. A simplistic 'blind vs directed' dichotomy is unhelpful, and researchers should consider the many
417 ways in which novel cultural variation may be directed, and the consequences of these. I have argued
418 against the extreme position that any degree of non-randomness immediately invalidates a theory of
419 cultural evolution, as well as the untenable position that all cultural variation is undirected and blind. The
420 reality is somewhere in between, and varies with the difficulty of individual learning. The most profitable

421 approach is to seek to understand how sometimes- and differently-directed variation interacts with
422 selective social learning biases, as well as demographic factors like population structure and migration, to
423 generate cultural change and variation.

424 **Acknowledgements**

425 I would like to thank Christian Feldbacher-Escamilla, Corina Stroessner, Karim Baraghith and Gerhard
426 Schurz for organizing the workshop from which this special issue arose, and inviting me to contribute.

427 **References**

- Acerbi, Alberto, and Alex Mesoudi. 2015. "If We Are All Cultural Darwinians What's the Fuss about? Clarifying Recent Disagreements in the Field of Cultural Evolution." *Biology & Philosophy* 30: 481–503.
- Atran, Scott. 2001. "The Trouble with Memes: Inference versus Imitation in Cultural Creation." *Human Nature* 12 (4): 351–81.
- Barrett, H. Clark, and James Broesch. 2012. "Prepared Social Learning about Dangerous Animals in Children." *Evolution and Human Behavior* 33 (5): 499–508.
<https://doi.org/10.1016/j.evolhumbehav.2012.01.003>.
- Barrett, H. Clark, Leda Cosmides, and John Tooby. 2007. "The Hominid Entry into the Cognitive Niche." In *Evolution of Mind*, edited by S.W. Gangestad and J. A. Simpson, 241–48. New York City, NY: Guilford.
- Basalla, George. 1988. *The Evolution of Technology*. Cambridge: Cambridge University Press.
- Blackmore, Susan. 1999. *The Meme Machine*. Oxford: Oxford University Press.
- Boyd, Robert, and Peter J. Richerson. 1985. *Culture and the Evolutionary Process*. Chicago, IL: Univ. Chicago Press.
- Boyd, Robert, Peter J. Richerson, and Joseph Henrich. 2011. "The Cultural Niche." *Proceedings of the National Academy of Sciences* 108: 10918–25.
- Boyer, Pascal. 2002. *Religion Explained: The Evolutionary Origins of Religious Thought*. New York: Basic Books.
- Buskell, Andrew. 2017. "What Are Cultural Attractors?" *Biology & Philosophy*, March, 1–18.
<https://doi.org/10.1007/s10539-017-9570-6>.
- Campbell, Donald T. 1965. "Variation and Selective Retention in Socio-Cultural Evolution." In *Social Change in Developing Areas*, edited by H.R. Barringer, G.I. Blanksten, and R.W. Mack, 19–49. Cambridge, MA: Schenkman.
- — —. 1974. "Evolutionary Epistemology." In *The Philosophy of Karl Popper*, edited by P. A. Schilpp, 413–63. La Salle, IL: Open Court.
- Cavalli-Sforza, Luigi Luca, and Marcus W. Feldman. 1981. *Cultural Transmission and Evolution*. Princeton: Princeton Univ. Press.

- Chagnon, Napoleon. 2012. *The Yanomamo*. Cengage Learning.
- Claidière, Nicolas, Thomas C. Scott-Phillips, and Dan Sperber. 2014. "How Darwinian Is Cultural Evolution?" *Philosophical Transactions of the Royal Society B* 369 (1642): 20130368. <https://doi.org/10.1098/rstb.2013.0368>.
- Daly, Martin. 1982. "Some Caveats about Cultural Transmission Models." *Human Ecology* 10: 401–8.
- Darwin, Charles. 1859. *The Origin of Species*. London: Penguin, 1968.
- — —. 1871. *The Descent of Man*. London: Gibson Square, 2003.
- Dawkins, Richard. 1976. *The Selfish Gene*. Oxford: Oxford University Press.
- Dennett, Daniel C. 1995. *Darwin's Dangerous Idea*. New York: Simon & Schuster.
- — —. 2017. *From Bacteria to Bach and Back: The Evolution of Minds*. Penguin UK.
- Dere, Maxime, Jean-François Bonnefon, Robert Boyd, and Alex Mesoudi. 2019. "Causal Understanding Is Not Necessary for the Improvement of Culturally Evolving Technology." *Nature Human Behaviour* 3 (5): 446. <https://doi.org/10.1038/s41562-019-0567-9>.
- Eerkens, Jelmer, W., and Carl P. Lipo. 2005. "Cultural Transmission, Copying Errors, and the Generation of Variation in Material Culture and the Archaeological Record." *Journal of Anthropological Archaeology* 24 (4): 316–34.
- Enquist, Magnus, S. Ghirlanda, A. Jarrick, and C. A. Wachtmeister. 2008. "Why Does Human Culture Increase Exponentially?" *Theoretical Population Biology* 74 (1): 46–55.
- Eriksson, Kimmo, and Julie C. Coultas. 2014. "Corpses, Maggots, Poodles and Rats: Emotional Selection Operating in Three Phases of Cultural Transmission of Urban Legends." *Journal of Cognition and Culture* 14 (1–2): 1–26. <https://doi.org/10.1163/15685373-12342107>.
- Eriksson, Kimmo, Julie C. Coultas, and Mícheál de Barra. 2016. "Cross-Cultural Differences in Emotional Selection on Transmission of Information." *Journal of Cognition and Culture* 16 (1–2): 122–43. <https://doi.org/10.1163/15685373-12342171>.
- Fessler, DMT, and CD Navarrete. 2003. "Meat Is Good to Taboo: Dietary Proscriptions as a Product of the Interaction of Psychological Mechanisms and Social Processes." *Journal of Cognition and Culture* 3 (1): 1–40.
- Foster, P. L. 2004. "Adaptive Mutation in Escherichia Coli." *Journal of Bacteriology* 186 (15): 4846–52.
- Fracchia, Joseph, and R. C. Lewontin. 2005. "The Price of Metaphor." *History and Theory* 44 (1): 14–29.

<https://doi.org/10.1111/j.1468-2303.2005.00305.x>.

- Gerhart, John, and Marc Kirschner. 2007. "The Theory of Facilitated Variation." *Proceedings of the National Academy of Sciences* 104 (suppl 1): 8582–89. <https://doi.org/10.1073/pnas.0701035104>.
- Gray, Russell D., and Joseph Watts. 2017. "Macro Matters: Cultural Macroevolution and the Prospects for an Evolutionary Science of Human History." *Proceedings of the National Academy of Sciences*.
- Griffiths, T.L., M.L. Kalish, and S. Lewandowsky. 2008. "Theoretical and Empirical Evidence for the Impact of Inductive Biases on Cultural Evolution." *Philosophical Transactions of the Royal Society B* 363: 3503–14.
- Hallpike, C.R. 1986. *The Principles of Social Evolution*. Oxford: Clarendon Press.
- Hills, Thomas T., Peter M. Todd, David Lazer, A. David Redish, and Iain D. Couzin. 2015. "Exploration versus Exploitation in Space, Mind, and Society." *Trends in Cognitive Sciences* 19 (1): 46–54. <https://doi.org/10.1016/j.tics.2014.10.004>.
- Hodgson, G. M. 2005. "Generalizing Darwinism to Social Evolution: Some Early Attempts." *Journal of Economic Issues* 39 (4): 899–914.
- Hull, D. L. 1988. *Science as a Process*. Chicago, IL: Chicago University Press.
- Inoue, T. 2010. "Tatara and the Japanese Sword: The Science and Technology." *Acta Mechanica* 214 (1): 17–30.
- Kempe, Marius, Stephen Lycett, and Alex Mesoudi. 2012. "An Experimental Test of the Accumulated Copying Error Model of Cultural Mutation for Acheulean Handaxe Size." *PLOS ONE* 7 (11): e48333.
- Kirby, Kathryn R., Russell D. Gray, Simon J. Greenhill, Fiona M. Jordan, Stephanie Gomes-Ng, Hans-Jörg Bibiko, Damián E. Blasi, et al. 2016. "D-PLACE: A Global Database of Cultural, Linguistic and Environmental Diversity." *PLOS ONE* 11 (7): e0158391. <https://doi.org/10.1371/journal.pone.0158391>.
- Kirby, Simon, M. Dowman, and T. L. Griffiths. 2007. "Innateness and Culture in the Evolution of Language." *Proceedings of the National Academy of Sciences* 104 (12): 5241–45.
- Lewens, Tim. 2015. *Cultural Evolution: Conceptual Challenges*. OUP Oxford.
- Lewontin, R. C. 1970. "The Units of Selection." *Annual Review of Ecology and Systematics* 1: 1–18.
- Luria, S. E., and M. Delbruck. 1943. "Mutations of Bacteria from Virus Sensitivity to Virus Resistance." *Genetics* 28 (6): 491–511.

- Macfarlane, Alan, and Gerry Martin. 2002. *Glass: A World History*. University of Chicago Press.
- Mesoudi, Alex. 2011. *Cultural Evolution*. Chicago, IL: Univ. Chicago Press.
- — —. 2017. "Pursuing Darwin's Curious Parallel: Prospects for a Science of Cultural Evolution." *Proceedings of the National Academy of Sciences* 114 (30): 7853–60.
<https://doi.org/10.1073/pnas.1620741114>.
- Mesoudi, Alex, and M. J. O'Brien. 2008a. "The Cultural Transmission of Great Basin Projectile Point Technology II: An Agent-Based Computer Simulation." *American Antiquity* 73 (4): 627–44.
- Mesoudi, Alex, and Michael J. O'Brien. 2008b. "The Cultural Transmission of Great Basin Projectile Point Technology I: An Experimental Simulation." *American Antiquity* 73 (1): 3–28.
<https://doi.org/10.2307/25470456>.
- Mesoudi, Alex, Andrew Whiten, and Kevin N. Laland. 2004. "Is Human Cultural Evolution Darwinian? Evidence Reviewed from the Perspective of The Origin of Species." *Evolution* 58 (1): 1–11.
- Miton, Helena, Nicolas Claidière, and Hugo Mercier. 2015. "Universal Cognitive Mechanisms Explain the Cultural Success of Bloodletting." *Evolution and Human Behavior* 36 (4): 303–12.
<https://doi.org/10.1016/j.evolhumbehav.2015.01.003>.
- Morin, Olivier. 2013. "How Portraits Turned Their Eyes upon Us: Visual Preferences and Demographic Change in Cultural Evolution." *Evolution and Human Behavior* 34 (3): 222–29.
<https://doi.org/10.1016/j.evolhumbehav.2013.01.004>.
- — —. 2015. *How Traditions Live and Die*. Oxford University Press.
- Nia, Hadi T., Ankita D. Jain, Yuming Liu, Mohammad-Reza Alam, Roman Barnas, and Nicholas C. Makris. 2015. "The Evolution of Air Resonance Power Efficiency in the Violin and Its Ancestors." *Proceedings of the Royal Society of London A* 471 (2175): 20140905.
<https://doi.org/10.1098/rspa.2014.0905>.
- Orr, H. A. 1996. "Dennett's Dangerous Idea." *Evolution* 50: 467–72.
- Perry, G., and R. Mace. 2010. "The Lack of Acceptance of Evolutionary Approaches to Human Behaviour." *Journal of Evolutionary Psychology* 8 (2): 105–25.
- Pinker, Steven. 1997. *How the Mind Works*. New York: WW Norton.
- — —. 2010. "The Cognitive Niche." *Proceedings of the National Academy of Sciences* 107: 8993–99.
- Rosenberg, S. M. 2001. "Evolving Responsively: Adaptive Mutation." *Nature Reviews Genetics* 2 (7): 504–

15.

- Seligman, M.E.P. 1970. "On the Generality of the Laws of Learning." *Psychological Review* 77 (5): 406–18.
- Shtulman, A. 2006. "Qualitative Differences between Naive and Scientific Theories of Evolution." *Cognitive Psychology* 52 (2): 170–94.
- Simonton, Dean Keith. 1995. "Foresight in Insight? A Darwinian Answer." In *The Nature of Insight*, edited by Robert J. Sternberg, 465–94. Cambridge, MA: MIT Press.
- — —. 1999a. "Creativity as Blind Variation and Selective Retention: Is the Creative Process Darwinian?" *Psychological Inquiry* 10 (4): 309–28.
- — —. 1999b. *Origins of Genius: Darwinian Perspectives on Creativity*. London: Oxford University Press.
- Slingerland, Edward, and Mark Collard. 2011. *Creating Consilience: Integrating the Sciences and the Humanities*. Oxford University Press.
- Sperber, Dan. 1996. *Explaining Culture: A Naturalistic Approach*. Oxford: Oxford University Press.
- Sternberg, Robert J. 1998. "Cognitive Mechanisms in Human Creativity: Is Variation Blind or Sighted?" *The Journal of Creative Behavior* 32 (3): 159–76. <https://doi.org/10.1002/j.2162-6057.1998.tb00813.x>.
- Tooby, John, and Leda Cosmides. 1992. "The Psychological Foundations of Culture." In *The Adapted Mind*, edited by Jerome H. Barkow, Leda Cosmides, and John Tooby, 19–136. London: Oxford University Press.
- Youngblood, Mason, and David Lahti. 2018. "A Bibliometric Analysis of the Interdisciplinary Field of Cultural Evolution." *Palgrave Communications* 4 (1): 120. <https://doi.org/10.1057/s41599-018-0175-8>.